

Excerpts

BEHAVIORAL HEALTH AND DEVELOPMENTAL
DISABILITIES ADMINISTRATION
PREPAID INPATIENT HEALTH PLANS
2014–2015 PIP VALIDATION REPORT

Improving Wellness Self-Management of SMI
Consumers With Chronic Health Conditions

for
Region 7—Detroit Wayne Mental Health
Authority

September 2015
for
Validation Year 2



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1. BACKGROUND

The Michigan Department of Health and Human Services (MDHHS) contracted with Health Services Advisory Group, Inc. (HSAG), as its external quality review organization to assess the performance improvement projects (PIPs) conducted by Michigan’s prepaid inpatient health plans (PIHP). MDHHS is responsible for administration of the Medicaid managed care program in Michigan. MDHHS requires that the PIHP conduct and submit PIPs annually to meet the requirements of the Balanced Budget Act of 1997 (BBA), Public Law 105-33. According to the BBA, the quality of health care delivered to Medicaid consumers in PIHPs must be tracked, analyzed, and reported annually. PIPs provide a structured method of assessing and improving the processes, and thereby the outcomes, of care for the population that a PIHP serves. By assessing PIPs, HSAG assesses each PIHP’s “strengths and weaknesses with respect to the quality, timeliness, and access to health care services furnished to Medicaid recipients,” according to the Code of Federal Regulations (CFR) at 42 CFR 438.364(a)(2).

In its PIP evaluation and validation, HSAG uses the Centers for Medicare & Medicaid Services. *EQR Protocol 3: Validating Performance Improvement Projects (PIPs): A Mandatory Protocol for External Quality Review (EQR)*, Version 2.0, September 2012. HSAG’s evaluation of the PIP includes two key components of the quality improvement process:

1. HSAG evaluates the technical structure of the PIP to ensure that **Detroit Wayne Mental Health Authority** designs, conducts, and reports the PIP in a methodologically sound manner, meeting all State and federal requirements. HSAG’s review determines whether the PIP design (e.g., study question, indicator(s), population, sampling techniques, data collection methodology, and data analysis plan) is based on sound methodological principles and could reliably measure outcomes. Successful execution of this component ensures that reported PIP results are accurate and capable of measuring sustained improvement.
2. HSAG evaluates the implementation of the PIP. Once designed, a PIP’s effectiveness in improving outcomes depends on the systematic data collection process, analysis of data, and the identification of barriers and subsequent development of relevant interventions. Through this component, HSAG evaluates how well **Detroit Wayne Mental Health Authority** improves its rates through implementation of effective processes (i.e., barrier analyses, intervention design, and evaluation of results). The goal of HSAG’s PIP validation is to ensure that MDHHS and key stakeholders can have confidence that any reported improvement in outcomes is related to a given PIP.

Study Rationale

The purpose of a PIP is to achieve, through ongoing measurements and interventions, significant improvement sustained over time in clinical or nonclinical areas.

For this year's 2014–2015 validation, **Detroit Wayne Mental Health Authority** submitted its PIP topic: *Improving Wellness Self-Management of SMI Consumers With Chronic Health Conditions*. The study topic selected by **Detroit Wayne Mental Health Authority** addressed CMS' requirements related to quality outcomes—specifically, the quality and accessibility of care and services.

Study Summary

The PIP topic addresses the integration of physical and behavioral health care and services. The goal of the study is to increase the percentage of adult consumers with serious mental illness (SMI) and at least one chronic health condition who completed a wellness self-management workshop. The health plan aims to empower SMI consumers to manage their health and wellness. This PIP topic represents a key area of focus for improvement by **Detroit Wayne Mental Health Authority**.

Table 1–1 outlines the study indicator for the PIP.

Table 1–1—PIP Study Indicator

PIP Topic	Study Indicator
<i>Improving Wellness Self-Management of SMI Consumers With Chronic Health Conditions</i>	The percentage of adult serious mental illness (SMI) consumers with at least one chronic health condition who completed a wellness self- management workshop during the measurement year.

Validation Overview

The primary objective of PIP validation is to determine **Detroit Wayne Mental Health Authority**'s compliance with the requirements of 42 CFR 438.240(b)(1), including:

- ◆ Measurement of performance using objective quality indicators.
- ◆ Implementation of systematic interventions to achieve improvement in quality.
- ◆ Evaluation of the effectiveness of the interventions.
- ◆ Planning and initiation of activities for increasing or sustaining improvement.

HSAG obtains the data needed to conduct the PIP validation from **Detroit Wayne Mental Health Authority**'s PIP Summary Form. This form provides detailed information about **Detroit Wayne Mental Health Authority**'s PIP related to the activities completed and evaluated by HSAG for the 2014–2015 validation cycle.

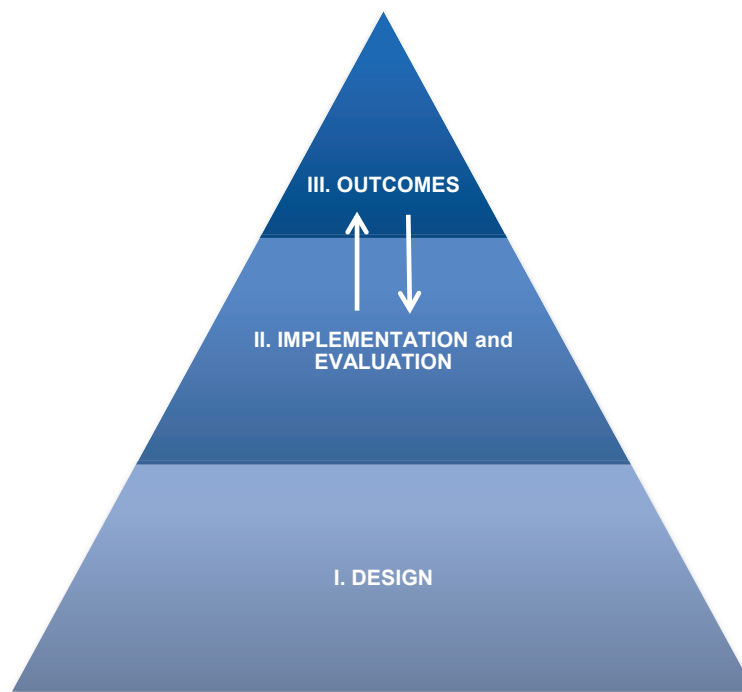
Each required activity is evaluated on one or more elements that form a valid PIP. The HSAG PIP Review Team scores each evaluation element within a given activity as *Met*, *Partially Met*, *Not Met*, *Not Applicable*, or *Not Assessed*. HSAG designates evaluation elements pivotal to the PIP process as critical elements. For a PIP to produce valid and reliable results, all critical

elements must be *Met*. Given the importance of critical elements to the scoring methodology, any critical element that receives a *Not Met* score results in an overall validation rating for the PIP of *Not Met*. **Detroit Wayne Mental Health Authority** would be given a *Partially Met* score if 60 percent to 79 percent of all evaluation elements were *Met* or one or more critical elements were *Partially Met*. HSAG provides a *Point of Clarification* when enhanced documentation would have demonstrated a stronger understanding and application of the PIP activities and evaluation elements.

In addition to the validation status (e.g., *Met*) HSAG gives the PIP an overall percentage score for all evaluation elements (including critical elements). HSAG calculates the overall percentage score by dividing the total number of elements scored as *Met* by the total number of elements scored as *Met*, *Partially Met*, and *Not Met*. HSAG also calculates a critical element percentage score by dividing the total number of critical elements scored as *Met* by the sum of the critical elements scored as *Met*, *Partially Met*, and *Not Met*.

Figure 1–1 illustrates the three stages of the PIP process—i.e., design, implementation and evaluation, and outcomes. Each sequential stage provides the foundation for the next stage. The design stage establishes the methodological framework for the PIP. The activities in this section include development of the study topic, question, indicators, population, sampling, techniques, and data collection. To implement successful improvement strategies, a strong study design is necessary.

Figure 1–1—PIP Study Stages



Once **Detroit Wayne Mental Health Authority** establishes its study design, the PIP process progresses into the implementation and evaluation stage. This stage includes data analysis and interventions. During this stage, **Detroit Wayne Mental Health Authority** evaluates and analyzes its data, identifies barriers to performance, and develops interventions targeted to improve outcomes. The implementation of effective improvement strategies is necessary to improve PIP outcomes. The outcomes stage is the final stage, which involves the evaluation of real and sustained improvement based on reported results and statistical testing. Sustained improvement is achieved when outcomes exhibit statistical improvement over time and multiple measurements. This stage is the culmination of the previous two stages. If the study outcomes do not improve, **Detroit Wayne Mental Health Authority** investigates the data collected to ensure that **Detroit Wayne Mental Health Authority** has correctly identified the barriers and implemented appropriate and effective interventions. If it has not, **Detroit Wayne Mental Health Authority** should revise its interventions and collect additional data to remeasure and evaluate outcomes for improvement. This process becomes cyclical until sustained statistical improvement is achieved.

Validation Findings

The PIP validation evaluated the technical methods of the PIP (i.e., the study design, implementation and evaluation). Based on a technical review, HSAG determined the overall methodological validity of the PIP. Table 2–1 summarizes the PIP validated during the review period with an overall validation status of *Met*, *Partially Met*, or *Not Met*. In addition, Table 2–1 displays the percentage score of evaluation elements that received a *Met* score, as well as the percentage score of critical elements that received a *Met* score. Critical elements are those within the validation tool that HSAG has identified as essential for producing a valid and reliable PIP. All critical elements must receive a *Met* score for a PIP to receive an overall *Met* validation status.

HSAG reviewed one PIP for the 2014–2015 validation cycle. The PIP received an overall *Partially Met* validation status when submitted. **Detroit Wayne Mental Health Authority** received technical assistance from HSAG, corrected the deficiencies, resubmitted the PIP for a second review, and improved overall validation status to *Met*. Table 2-1 illustrates the validation scores.

Table 2–1—2014–2015 Performance Improvement Project Validation Activity for Detroit Wayne Mental Health Authority

Name of Project/Study	Type of Annual Review	Percentage Score of Evaluation Elements <i>Met</i>	Percentage Score of Critical Elements <i>Met</i>	Overall Validation Status
<i>Improving Wellness Self-Management of SMI Consumers With Chronic Health Conditions</i>	Submission	71%	71%	<i>Partially Met</i>
	Resubmission	100%	100%	<i>Met</i>
<p>Percentage Score of Evaluation Elements <i>Met</i>—The percentage score is calculated by dividing the total elements <i>Met</i> (critical and noncritical) by the sum of the total elements of all categories (<i>Met</i>, <i>Partially Met</i>, and <i>Not Met</i>).</p> <p>Percentage Score of Critical Elements <i>Met</i>—The percentage score of critical elements <i>Met</i> is calculated by dividing the total critical elements <i>Met</i> by the sum of the critical elements <i>Met</i>, <i>Partially Met</i>, and <i>Not Met</i>.</p> <p>Overall Validation Status—Populated from the PIP Validation Tool and based on the percentage scores.</p>				

Table 2–2 displays the validation results for **Detroit Wayne Mental Health Authority**'s PIP evaluated during 2014–2015. This table illustrates the PIHP's overall application of the PIP process and success in implementing the study. Each activity is composed of individual evaluation elements scored as *Met*, *Partially Met*, or *Not Met*. Elements receiving a *Met* score have satisfied the necessary technical requirements for a specific element. The validation results presented in Table 2–2 show the percentage of applicable evaluation elements that received each

score by activity. Additionally, HSAG calculated a score for each study stage and an overall score across all activities.

Table 2–2—Performance Improvement Project Validation Results for Detroit Wayne Mental Health Authority (N=1 PIP)

Study Stage	Activity		Percentage of Applicable Elements		
			Met	Partially Met	Not Met
Design	I.	Select the Study Topic(s)	100% (2/2)	0% (0/2)	0% (0/2)
	II.	Define the Study Question(s)	100% (1/1)	0% (0/1)	0% (0/1)
	III.	Use a Representative and Generalizable Study Population	100% (1/1)	0% (0/1)	0% (0/1)
	IV.	Select the Study Indicator(s)	100% (3/3)	0% (0/3)	0% (0/3)
	V.	Use Sound Sampling Techniques	<i>Not Applicable</i>		
	VI.	Reliably Collect Data	100% (4/4)	0% (0/4)	0% (0/4)
Design Total			100% (11/11)	0% (0/11)	0% (0/11)
Implementation and Evaluation	VII.	Analyze and Interpret Study Results	100% (4/4)	0% (0/4)	0% (0/4)
	VIII.	Implement Intervention and Improvement Strategies	100% (3/3)	0% (0/3)	0% (0/3)
Implementation and Evaluation Total			100% (7/7)	0% (0/7)	0% (0/7)
Outcomes	IX.	Assess for Real Improvement	<i>Not Assessed</i>		
	X.	Assess for Sustained Improvement	<i>Not Assessed</i>		
Outcomes Total			<i>Not Assessed</i>		
Percentage Score of Applicable Evaluation Elements Met			100% (18/18)		

Overall, 100 percent of all applicable evaluation elements received a score of *Met*. **Detroit Wayne Mental Health Authority** submitted the Study Design and Implementation and Evaluation stages of the PIP for this year's validation.

Study Design

Detroit Wayne Mental Health Authority designed a scientifically sound study supported by the use of key research principles. The technical design of the PIP was sufficient to measure outcomes, and the PIP's solid design allowed for the successful progression to the next stage of the PIP process.

Study Implementation, Evaluation, and Study Outcomes

Detroit Wayne Mental Health Authority progressed to completing causal/barrier analysis using quality improvement tools and implementing interventions likely to impact outcomes. The health plan submitted and analyzed baseline data in this year's validation. For the next annual validation, study outcomes will be assessed by comparing **Detroit Wayne Mental Health Authority's** Remeasurement 1 results with the baseline.

Analysis of Results

Table 2–3 displays baseline data for **Detroit Wayne Mental Health Authority's** *Improving Wellness Self-Management of SMI Consumers With Chronic Health Conditions* PIP. **Detroit Wayne Mental Health Authority's** goal is to increase the percentage of adult SMI consumers with at least one chronic health condition who complete a wellness self-management workshop during the measurement year.

**Table 2–3—Performance Improvement Project Outcomes
for Detroit Wayne Mental Health Authority**

PIP—Improving Wellness Self-Management of SMI Consumers With Chronic Health Conditions				
Study Indicator	Baseline Period (10/1/2013–9/30/2014)	Remeasurement 1 (10/1/2014–9/30/2015)	Remeasurement 2 (10/1/2015–9/30/2016)	Sustained Improvement
The percentage of adult SMI consumers with at least one chronic health condition who completed a wellness self-management workshop during the measurement year.	1.3%			

The baseline rate was 1.3 percent. The health plan set the goal of an increase to 2.6 percent for Remeasurement 1.

Barriers/Interventions

The identification of barriers through causal/barrier analysis and the subsequent selection of appropriate interventions to address these barriers are necessary steps to improve outcomes. **Detroit Wayne Mental Health Authority** completed a fishbone analysis at provider meetings. The health plan prioritized barriers and uses Plan-Do-Study-Act to evaluate interventions. The top barriers documented follow:

- ◆ No IH modifier on baseline encounters
- ◆ No certified peer specialists at Our Place Clubhouse
- ◆ Transportation

To overcome these barriers, **Detroit Wayne Mental Health Authority** implemented the following interventions:

- ◆ Coding manual bulletin (which allowed the use of the IH modifier) was made public and distributed to providers.
- ◆ Trained providers on the use of the IH modifier.
- ◆ Removed Our Place Clubhouse as provider, and substituted New Center Community Services.

PIP Strengths

The **Detroit Wayne Mental Health Authority** PIP received a *Met* score for 100 percent of critical evaluation elements and for 100 percent of the overall evaluation elements in the Study Design and Implementation and Evaluation stages. The performance of this PIP suggests a thorough application of the PIP design, appropriate analysis of the results, and implementation of system interventions related to barriers identified through quality improvement processes.

4. CONCLUSIONS AND RECOMMENDATIONS

for Detroit Wayne Mental Health Authority

Conclusions

Activities I through VIII were assessed for this PIP validation. Based on the validation of this PIP, HSAG's assessment determined high confidence in the results.

Recommendations

Detroit Wayne Mental Health Authority addressed all of HSAG's recommendations from the PIP validation tool in the resubmission.